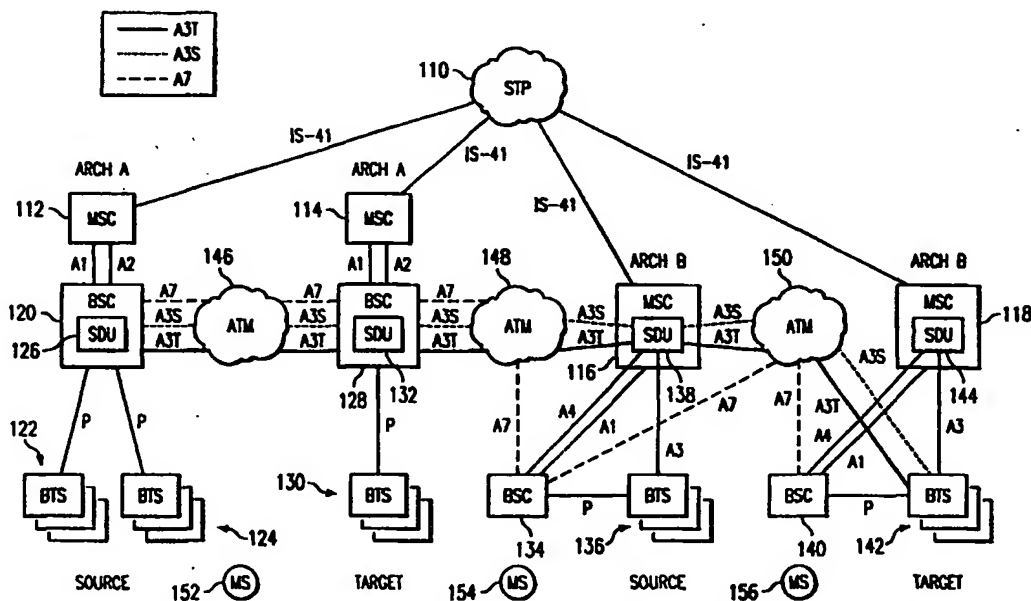




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<p>(21) International Application Number: PCT/US98/15048</p> <p>(22) International Filing Date: 22 July 1998 (22.07.98)</p> <p>(30) Priority Data:</p> <table border="0"> <tr> <td>60/053,939</td> <td>28 July 1997 (28.07.97)</td> <td>US</td> </tr> <tr> <td>09/120,103</td> <td>21 July 1998 (21.07.98)</td> <td>US</td> </tr> </table> <p>(71) Applicant: NORTHERN TELECOM LIMITED [CA/CA]; World Trade Center of Montreal, 8th floor, 380 St. Antoine Street West, Montreal, Quebec H2Y 3Y4 (CA).</p> <p>(71)(72) Applicants and Inventors: JIANG, Jianping [CN/US]; 303 LaSalle Drive #3, Richardson, TX 75081 (US). AHMAD, Azeem [PK/US]; 7777 McCalum Boulevard #232, Dallas, TX 75252 (US). TURNER-HARRIS, Jerri, L. [US/US]; 3902 Fletcher Drive, Garland, TX 75044 (US). EDUPUGANTY, Baji [IN/US]; 4524 Pebblebrook Lane, Plano, TX 75093 (US). ILLIDGE, William, Edward [CA/CA]; 2 Grierson Land, Kanata, Ontario K2W 1A6 (CA).</p> <p>(74) Agent: CARR, Gregory, W.; Carr &amp; Storm, L.L.P., 670 Founders Square, 900 Jackson Street, Dallas, TX 75202 (US).</p>		60/053,939	28 July 1997 (28.07.97)	US	09/120,103	21 July 1998 (21.07.98)	US	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i></p>
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**(54) Title: SOFT HANDOFF METHOD AND APPARATUS**



**(57) Abstract**

Disclosed is a method and apparatus for establishing an inter-system soft handoff using a direct base station to base station standardized protocol connection over a network which bypasses the time consuming prior art MSC to MSC communication link using the IS-41 protocol. This new protocol has recently received industry agreement for use between different type architecture CDMA systems and uses A7 and A3 protocols as defined in IS-634 rev A.

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**SOFT HANDOFF METHOD AND APPARATUS**

This Application is a continuation in part of and claims the benefit of U.S. Provisional Application Number 60/053,939, filed 29 July 1997.

## TECHNICAL FIELD

The present invention relates in general to soft handoffs and in particular to methods and systems for establishing soft handoffs between two CDMA base stations (BSs) using a direct base station (BS) to BS link even where the SDUs associated to the BS comprise part of different configuration systems.

## BACKGROUND

When a mobile station (MS), in a cellular communication system, crosses from one cell to another adjacent cell a process designated as handoff is initiated.

5 Handoff is the process by which a new air interface channel between a mobile station and a base station is established.

There are several types of handoff in the wireless communication industry. A "hard handoff" is a handoff  
10 which requires a mobile station to tune its radio equipment or to reestablish synchronization. A "soft handoff" is a handoff that does not require the mobile station to tune its radio equipment or to reestablish synchronization and that uses the same frame selection function for (and voice  
15 trans-coding function, if this is a voice call) in the network for both the old and new air interface channels. Other types of handoffs, such as a semi soft handoff, are not pertinent to an understanding of the present invention. At the present time, only code division multiple access  
20 (CDMA) wireless communication systems are capable of accomplishing soft handoffs.

In a CDMA wireless communication system, using cellular transmission technology, the process of establishing a soft handoff from the time an MS sees a new  
25 pilot signal, received from a nearby base transceiver station (BTS) defining another cell, to the time an MS

sends a handoff completion message can be segregated into at least three phases.

Phase 1 may be defined as occurring from the time the MS sees a new pilot to the time that the pilot strength exceeds a predetermined threshold and may be referred to as the detection phase. Phase 2 may be defined as occurring between the time an MS sends a pilot strength measurement (PSM) message until it receives a handoff direction message and may be referred to as the establishing phase (when successful). Phase 3 may be defined as the time from when an MS receives a handoff direction message to the time the MS sends a handoff completion message and may be referred to as the completion phase.

As is well-known in the art, many standards are used by the communication industry to define functional standards by which communication equipment will operate so that equipment from various manufacturers may compatibly inter-operate. One such standard is IS-95 Revision A entitled "Mobile Station - Base Station Compatibility Standard for Dual-Mode Wideband Spread Spectrum Cellular Systems; May, 1995". Another standard is IS-634 Rev A ballot version dated April 20, 1997 entitled "MSC BS Interface for Public Wireless Communication" that among other items defines radio access to switch or intr-system interfaces. A further standard mentioned in this document is IS-41-C entitled "Cellular Radiotelecommunications

Intersystem Operations; 1996". Each of these standards are incorporated herein by reference.

5       The IS-634 standard has defined and standardized communications between a mobile switching center (MSC) and a BS by industry agreement such that different manufacturers equipment could be combined into a composite cellular system by a user. However this standard has not previously attempted to standardize any signaling messages between base stations. Thus, signaling messages bound from  
10       a source base station to a target base station, must be first relayed to an MSC in a standardized manner and protocol before being received by the target base station.

      To support soft handoff of an MS moving from one cell to another, adjacent cells must operate at the same  
15       frequency. Once an MS detects a new pilot, the MS may experience same frequency interference from adjacent cells. In accordance with the standards of IS-95, 12 consecutive bad frames detected by an MS may cause the MS to drop a call. The total network processing time that may cause a  
20       call to be dropped is primarily a function of the phase 2 time period. At this time the received signal has already degraded enough that a handoff has been requested. This typically means that the MS is still moving away from its signal source. Thus the degradation of received signal  
25       quality, before handoff completion, may result in the occurrence of 12 consecutive bad frames as detected by the MS.

One prior art system used 12 steps and including the transmission of 12 messages for an intra-system inter-BS handoff during phase 2. The series of setup and request messages went from the MS, to the serving BTS, to the selection/distribution unit (SDU), the serving base station controller (BSC), the mobile switching center (MSC), the target BSC, and the target BTS. The response messages came from the target BTS to the target BSC, the MSC, the serving BSC, the SDU and finally the serving BTS.

For an inter-system handoff, at least 14 steps were completed because of the extra MSC and even the STP involved in both directions.

It takes time to successfully transmit a message and to process the message after receipt. It will be apparent that the time required to complete the referenced 14 steps must occur prior to the length of time it takes the MS to move to a position where the quality of the signal received by the MS has degraded to a point whereby the MS detects 12 consecutive bad frames. This time problem is especially severe when the MSC is involved in many administrative tasks other than call processing.

Thus a system whereby the number of steps involved and the number of messages transmitted could be reduced for the establishment process of phase 2 would lessen the chances of a call being dropped due to extensive time required to complete this phase. The elimination of the MSC from the message path, even where there was no reduction in number



of steps involved, due to a required addition of dedicated processing entities, would also reduce the time required to complete phase 2 in some circumstances.

5 It would further be desirable, if base stations, whether identical or made by different manufacturers, could communicate directly with one another to establish a soft handoff of an MS across cellular boundaries of communication cells managed by different base stations.

10 One attempt to solve the above problem was presented in a patent application assigned to the assignee of the present invention, filed April 7, 1998, entitled "METHODS AND SYSTEMS FOR STANDARDIZING INTER BASE STATION COMMUNICATIONS" and having a filing number designation of 09/056,370.

15 There are two architectures supported in the referenced IS-634 standard. An Architecture A network infrastructure is distinguished by the fact that the voice coding and frame selection function of the SDU is always located at the source base station. An Architecture B  
20 network infrastructure is distinguished by the fact that the voice coding and frame selection function of the SDU is located remote from the source base station and thus requires the use of an A4 communication interface as defined in the standard. A typical location for the SDU in  
25 an architecture B network is in the MSC although it may, if

so desired, be physically isolated from the rest of the system.

The above referenced patent application does not address a solution to the quicker establishment of soft  
5 handoffs between base stations comprising part of different architecture systems.

## SUMMARY OF THE INVENTION

5       The present invention comprises providing a soft handoff signaling channel or communication link directly between source and target systems. This communication link in combination with an enhanced signaling link from the source SDU to the target BS, operate to permit the transmission of both signaling and voice information from the SDU, and removes the involvement of the MSC from the soft handoff establishing phase process.

## BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and its advantages, reference will now be made in the following Detailed Description to the accompanying drawings, in which:

FIGURE 1 is a basic block diagram illustrating the mechanism whereby a soft handoff occurred in the prior art regardless of network architecture;

FIGURE 2 is a basic block diagram illustrating the mechanism whereby a soft handoff occurred in the prior art between architecture A networks as described in the above referenced patent application;

FIGURE 3 is a basic block diagram illustrating the mechanism for providing a direct link for soft handoff between architecture B networks instead of involving IS-41 in the soft handoff establishing phase;

FIGURE 4 is a basic block diagram illustrating the mechanism for providing a soft handoff between architecture A and architecture B networks;

FIGURE 5 is a block diagram illustrating the mechanism for providing a soft handoff where the source, of an inter-architecture soft handoff, is an architecture B type network;

FIGURE 6 is a block diagram illustrating the mechanism for providing a soft handoff where the source, of an inter-

architecture soft handoff, is an architecture A type network;

FIGURE 7 illustrates, in a message time sequence diagram form, a methodology of the establishment phase of a soft handoff between two type A architecture systems;

FIGURE 8 illustrates, in a message time sequence diagram form, a methodology of the establishment phase of a soft handoff between two type B architecture systems;

FIGURE 9 illustrates, in a message time sequence diagram form, a methodology of the establishment phase of a soft handoff between two systems where the source is a type A architecture and the target is type B architecture; and

FIGURE 10 illustrates, in a message time sequence diagram form, a methodology of the establishment phase of a soft handoff between two systems where the source is a type B architecture and the target is type A architecture.

## DETAILED DESCRIPTION

The referenced copending application is directed to the idea of adding a communication link between base stations to bypass or eliminate the requirement of signals passing through the MSC. The concept as presented therein is not readily implementable as applied to CDMA architecture B type systems or to a SHO between systems having different architecture types.

In this application, separate signaling and traffic links are utilized to allow inter-system soft handoff operations to bypass the MSC whether the systems are both architecture type B, or both type A or between different architecture types.

This is accomplished by using 3 links, as will be explained in greater detail later, A7 signaling, A3 signaling and A3 traffic. Each link may terminate logically at different entities in different architecture type systems. This flexibility of link termination provides the inter-operability necessary in establishing direct links between certain entities in different architecture type systems and as required to remove the involvement of the MSC from the soft handoff establishing phase of the operation.

In FIGURE 1 a block designated as MSC is also labeled 10. MSC 10 is connected via a communication link 12 to a cloud designated as 14 and also labeled STP. STP refers to

a signal transfer point, gateway or other signal switching network such as the public switched telephone network (PSTN). The link 12 transfers data according to an IS-41 protocol as referenced in the previously mentioned industry standard. Cloud 14 is further connected via a link 16 to a further MSC block 18. Link 16 also conforms to the IS-41 protocol. A base station block 20 is connected via a communication link 22 to MSC 18. The communication link 22 conforms to IS-634 standards. A further base station 24 is connected via a communication link 26 to MSC 10. Link 26 also conforms to the IS-634 protocol. A circle 28 represents a mobile station (MS) that is moving from the base station 24 towards base station 20. An airwave communication link 30 represents communications between MS 28 and base station 24. A dash line 32 represents airwave communications between MS 28 and base station 20. The cellular system represented by blocks 10 and 24 may be either an architecture A or architecture B type system as defined in IS-634. As shown in the drawing, the same applies to a second cellular system represented by blocks 18 and 20.

Figure 2 provides the basic components involved in a soft handoff between two systems conforming to architecture A. This is the model used in the referenced patent application. An MSC block 40 communicates over a link 42, through a cloud 44 and a further link 46 with a second MSC 48 in the same manner as shown in FIGURE 1. MSC 40 also

communicates with a BS 50 over a link 52 conforming to IS-634 standards. A further BS 54 communicates with MSC 48 over a communication link 56 where 56 is IS-634 compliant. Both BSs 50 and 54 actually comprise at least one BSC and  
5 normally a plurality of BTSs. A communication link is shown providing a direct connection between a voice and signaling (V/S) portion of BS 50 and a similar portion of BS 54. The V/S portion in most CDMA systems comprise an SDU and associated soft handoff controller (SHC). A  
10 interconnection function (ICF) block (not shown) may be required in older system designs to transmit data over the link 58 in a protocol that complies with an accepted industry standard.

As shown in FIGURE 1, the mobile station 28 has been  
15 communicating with base station 24. As MS 28 moves towards the base station 20, the mobile station 28 receives pilot signals from BS 20 of increasing amplitude. When the signals exceeded a predefined value, the mobile station 28 informs base station 24 that it wants to be transferred to  
20 the system represented by base station 20. The base station 24 informs MSC 10 which transfers messages over communication link 12 through STP 14 and eventually to BS 20. BS 20 allocates resources so that it may communicate with mobile station 28. Messages are then sent back  
25 through STP 14 to base station 24 to acknowledge that BS 20 is prepared to complete a connection with mobile station 28. The process of transferring these messages and the



many steps involved, when using only MSC to MSC communication, is set forth in detail in the referenced patent application.

5 The referenced patent application is directed to the idea of providing direct BS to BS signaling to establish the soft handoff connection. As presented, the invention suggested that one method of accomplishing this soft handoff signaling link is to enhance an existing voice and signaling communication channel designated in the standards  
10 as A3. Such a system is illustrated in FIGURE 2.

FIGURE 3 shows the same concept applied to a handoff between architecture B type systems. As shown, one system comprises an MSC 70 and a BS 72. A second system comprises an MSC 74 and a BS 76. IS-41 links connect the two MSCs  
15 together via an STP cloud 78. A direct link labeled as 80 provides signaling and voice communication between the appropriate components of the two systems necessary to establish a soft handoff. Non designated communication links interconnect the MSC and its associated BS and these  
20 links are IS-634 compliant. While the number of message steps saved may be slightly less than occurs between two architecture A systems, the time saved may still be significant.

A further significant advantage will be apparent from  
25 an examination of FIGURE 4 where a soft handoff is shown occurring between two different architecture type systems. An architecture B system is shown having the basic

components of an MSC 90 and a BS 92. An architecture A system is shown having the basic components of an MSC 94 and a BS 96. IS-41 links connect the two MSCs together via an STP cloud 98. A V/S to V/S direct link labeled as 100 provides signaling and voice communication between the appropriate components of the two systems necessary to establish a soft handoff. Non designated communication links interconnect the MSC and its associated BS and these links are IS-634 compliant.

By setting up a standardized protocol whereby each of the links 58, 80 and 100 in the appropriate FIGURES 2, 3 and 4 conform to the same communication standard, any architecture type system may establish a soft handoff with another system without having to pass the establishing messages to the STP cloud.

The inter-operability approach set forth in FIGURE 4 is expanded upon in FIGURES 5 and 6 and the manner in which messages are exchanged between systems for four different source and target combination possibilities is presented in FIGURES 7 through 10.

In FIGURE 5, a network or switch mechanism labeled as STP and given a designation of 110 is connected to each of a plurality of MSC blocks 112, 114, 116 and 118 over IS-41 compliant communication links. MSCs 112 and 114 provide the main switch function for two architecture A type cellular systems. MSCs 116 and 118 provide the main switch function for two architecture B type cellular systems.

Each of the MSCs is connected to base station equipment over IS-634 compliant communication links. MSC 112 controls a BSC 120 which in turn controls a first plurality of BTSs 122 and a second plurality of BTSs 124. Internal  
5 to BSC block 120 there is shown an SDU block 126.

MSC 114 controls a BSC 128 which in turn controls a plurality of BTSs 130. Internal to BSC block 128 there is shown an SDU block 132. MSC 116 controls a BSC 134 which in turn controls a plurality of BTSs 136. Internal to MSC  
10 block 116 there is shown an SDU block 138. MSC 118 controls a BSC 140 which in turn controls a plurality of BTSs 142. Internal to MSC block 118 there is shown an SDU block 144.

Three asynchronous transfer mode (ATM) clouds 146, 148  
15 and 150 provide direct V/S to V/S interconnection between the four cellular systems. The connections are shown as solid, dashed and dotted lines for different types of communications. The bold solid lines designated A3T represents A3 protocol voice or data (traffic) packets, the  
20 dotted line designated as A3S represents A3 protocol signaling data packets and the dash line designated as A7 represents a data packet protocol for passing resource allocation signaling type messages.

Three different MS blocks are designated as 152, 154  
25 and 156. MS 152 is shown as being handed off from a source system using one of the BTSs 124 to a target system using one of the BTSs 130. Similarly, MS 154 is shown as being

handed off from one of a set of source BTS 136 to one of the set of target BTSS 130. Finally, MS 156 is illustrated as being handed off from one of the source BTSS 136 to one of the target BTSS 142.

5           The cellular systems using BSCs 120 and 134 are further labeled SOURCE while the systems using BSCs 128 and 140 are further labeled TARGET in accordance with the above paragraph. The A3 and A7 communication links as shown are used to help illustrate the paths messages travel in  
10 performing a soft handoff between a source system and a target system in the practice of this invention.

          When the source and target systems are both architecture A type systems all communications take place between the BSCs as shown in connection with ATM 146. The  
15 A3 protocol signaling and voice messages are transferred between the BSs in each of the two systems. The A7 resource allocation messages are transferred between the soft handoff controllers internal the respective BSCs to transfer a handoff request and the response acknowledgement  
20 to that request between the appropriate BTS entities.

          In FIGURE 6, a network or switch mechanism labeled as STP and given a designation of 200 is connected to each of a plurality of MSC blocks 202, 204, 206 and 208 over IS-41 compliant communication links. MSCs 202 and 204 provide  
25 the main switch function for two architecture A type cellular systems. MSCs 206 and 208 provide the main switch function for two architecture B type cellular systems.

Each of the MSCs is connected to base station equipment over IS-634 compliant communication links. MSC 202 controls a BSC 210 which in turn controls a first plurality of BTSs 212 and a second plurality of BTSs 214. Internal  
5 to BSC block 210 there is shown an SDU block 216.

MSC 204 controls a BSC 218 which in turn controls a plurality of BTSs 220. Internal to BSC block 218 there is shown an SDU block 222. MSC 206 controls a BSC 224 which in turn controls a plurality of BTSs 226. Internal to MSC  
10 block 206 there is shown an SDU block 228. MSC 208 controls a BSC 230 which in turn controls a plurality of BTSs 232. Internal to MSC block 208 there is shown an SDU block 234.

Three asynchronous transfer mode (ATM) clouds 236, 238  
15 and 240 provide direct V/S to V/S interconnection between the four cellular systems. The connections are shown as solid, dashed and dotted lines for different types of communications. The bold solid lines designated A3T represents A3 protocol voice (traffic) data packets, the  
20 dotted line designated as A3S represents A3 protocol signaling data packets and the dash line designated as A7 represents a data packet protocol for passing resource allocation signaling type messages.

Three different MS blocks are designated as 242, 244  
25 and 246. MS 242 is shown as being handed off from a source system using a BTS such as 220 to a target system using one of the BTSs such as 214. Similarly, MS 244 is shown as

being handed off from one of a set of source BTSs 220 to one of the set of target BTSs 226. Finally, MS 246 is illustrated as being handed off from one of the source BTSs 232 to one of the target BTSs 226.

5           The cellular systems using BSCs 218 and 230 are further labeled SOURCE while the systems using BSCs 210 and 224 are further labeled TARGET in accordance with the above paragraph. The A3 and A7 communication links as shown are used to help illustrate the paths messages travel in  
10 performing a soft handoff between a source system and a target system in the practice of this invention.

          When the source and target systems are both architecture A type systems, all communications take place between the BSCs as shown in connection with ATM 236 in the  
15 same manner as discussed in connection with FIGURE 5. The same holds true when the source and target systems are both architecture B type systems. The differences occur when the source and target systems are of different types. This will be further explained in connection with the following  
20 figures.

          In FIGURE 7 an MS is labeled 300 and is shown sending a pilot strength measurement signal to a BS block 302 which represents a combination of BTS/SDU/SHC/ICF entities since the communication link interconnections of these entities  
25 are proprietary to each manufacturer. The block 302 and an MSC1 block 304 represent a source system. An MSC2 block 306 in combination with a BS block 308 represents a target

system. As above the block 308 represents a combination of BTS/SDU/SHC/ICF entities. As mentioned previously, the messages passed illustrate the time sequence of messages, labeled from "a" to "l", when a soft handoff is being established between two type A systems. This would be representative of a soft handoff between the two left hand systems of either FIGURES 5 or 6. When the source BS determines that one or more cells at a target BS are needed to support an already established call, it sends an A7 Handoff Request to the target BS as shown in line "b". The target BS initiates an A3S connection by sending a Connect message in return. (Although only one connect message is shown, multiple connect messages may occur if the request includes multiple cells.) Acknowledgments soon follow both the HO request and connect messages. Forward frames are commenced from the source to the target BS as shown in line "f" as soon as synchronization is established. A message is then sent to the target BS to begin forwarding traffic frames to the MS as set forth in lines "g" and "h". An acknowledgement message is sent in line "j". After the extended HO direction message is sent, the source MSC is informed that the HO has been performed. As set forth above, the MSC is bypassed from the establishment phase and is merely informed that the HO is complete after the fact.

25       The messages used in the establishment phase of a soft handoff between B architecture type systems in accordance with this invention are presented in FIGURE 8. As

mentioned previously, the SDU in B architecture type systems is not located in the base station. It may be isolated by itself but is often found in proximity to an MSC as shown in both FIGURES 5 and 6. A MS labeled as 350  
5 may represent either MS 156 in FIGURE 5 or MS 246 in FIGURE 6. A BSC block 352, an SDU block 354 and an MSC1 block 356 represent a source system through which the MS 350 has been communicating. In FIGURE 5 this would be the system having MSC 116 while in FIGURE 6 it would be the system  
10 having MSC 208. A MSC2 block 358, a BSC block 360 and a BTS block 362 represent a target system such as shown and labeled in FIGURES 5 and 6. As shown, the pilot strength measurement signal is sent to the SDU 354 via an A3 communication link. As will be apparent to those skilled  
15 in the art, the A3 communication link is distinct from the previously discussed A3S and A3T communication links although the protocol used is the same. A HO Request, as shown on line "c", is sent on an A4 communication link to the BSC represented by 352. This request is then  
20 forwarded, as shown on line "d", over the A7 communication link to BSC 360 and is forwarded over a proprietary link to the BTS 362 representing an appropriate target BTS. A connect signal is returned to the source SDU 354 over an A3S communication link as shown on line "e". The remaining  
25 signals utilized in establishing SHO in a type B architecture system are shown and a comparison with either FIGURE 5 or 6 will disclose the signal paths used to



provide the communication link. As before, the MSC is not involved until the handoff is completed and a HO performed message is sent from the BSC to the MSC as shown in line "o" over communication link A7. The signaling messages are  
5 substantially identical in both FIGURES 7 and 8 although some need to be forwarded in accordance with established protocols over standardized communication links, such as A4 and A3.

In FIGURE 9, a signal transfer methodology is shown  
10 for establishing a SHO between a source type B architecture system and a target type B architecture system. A block 400 represents an MS such as 154 in FIGURE 5. A BSC block 402, an SDU block 404 and an MSC1 block 406 represents components of the source system in FIGURE 5 having MSC 116.  
15 A target MSC2 block 408 and a BSC block 410 are representative of the system shown having MSC 114. As in FIGURE 7, the block 410 includes at least the functional entities ICF, SHC and BTS used to respond to the intersystem messages used in this invention. In a manner  
20 identical to FIGURE 8, the BSC 402 forwards a HO request message subsequent to the occurrence of an appropriate pilot strength measurement message of line "a" and a resulting HO request message of line "b". This HO request message is received over communication link A7 from a BSC  
25 such as 134 in FIGURE 5. A connect message, as shown on line "d", is returned over an A3S communication link to the SDU such as 138. As shown, acknowledgment message of lines

"e" and "f" are sent over communication links A3S and A7 respectively to the entities shown. The remaining messages and communication links used are self evident in view of the material already discussed.

5           The messages provided in FIGURE 10 illustrate the entities involved in establishing a SHO between a source architecture A type system and a target architecture B type system such as may occur in connection with MS 244 in FIGURE 6. A block 450 represents an MS while a BS block 10 452 and a MSC1 block 454 represent a source system through which the MS has been communicating. The BS block 452 includes, as before, at least entities such as a BTS, a SDU, a SHC and an ICF. A target system is represented by MSC2 block 456, a BSC block 458 and a BTS block 460. The 15 HO request message is sent over the A7 communication link to BSC 458 which is inherently programed to forward same over a communication link, proprietary to type B architecture systems, to a BTS as represented by block 460 and such as one of those designated as 226 in FIGURE 6. A 20 connect signal is returned over the A3S communication link and then acknowledgments follow as described previously, The remaining messages are transmitted and, when the SHO operation is completed, a message is sent to the MSC 454 and designated as 204 in FIGURE 6 that the HO has been 25 performed. Thus the MSC again is not involved in the establishment phase until it is completed and thus does not

act to impede the time required to complete the SHO operation.

5 The present invention thus establishes a methodology that not only bypasses the MSC when attempting a SHO operation between type A architecture systems as shown in the referenced patent application, but allows the MSC  
bypassing when attempting a SHO between different type systems. A standardized set of signals is set forth herein whereby all CDMA systems can communicate for the purpose of  
10 establishing a SHO without involving the MSC.

For the messages involved in the establishing phase as well as in the release phase, Appendix A provides an example set of message-layout and information elements.

15 Although the invention has been described with reference to specific embodiments, these descriptions are not meant to be construed in a limiting sense. Various modifications of the disclosed embodiments, as well as alternative embodiments of the invention, will become apparent to persons skilled in the art upon reference to  
20 the description of the invention. It is therefore, contemplated that the claims will cover any such modifications or embodiments that fall within the true scope and spirit of the invention.

## Appendix: Message Layout and Information Elements

Note: The section numbers are referred to IS-634 rev A ballot version, dated April 20, 1997.

### 6.1.x.x PMC\_A3 HO Request

This message is sent from the source BS to the target BS to indicate that for a given MS which already has a dedicated radio resource assigned, a handoff is required.

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Source BS -> Target BS	M
Call Connection Reference	Section 6.2.2.98	Source BS -> Target BS	M
IS-95 Channel Identity	Section 6.2.2.10	Source BS -> Target BS	M
Cell Identity	Section 6.2.2.20	Source BS -> Target BS	M
Tag	Section 6.2.2.62	Source BS -> Target BS	O
SDU ID	Section 6.2.2.91	Source BS -> Target BS	O
SDU_Node ID	Section 6.2.2.90	Source BS -> Target BS	O
Mobile Identity (ESN)	Section 6.2.2.16	Source BS -> Target BS	O <sup>h</sup>
Public Long Code	Section 6.2.2.xx	Source BS -> Target BS	O
Encryption Information	Section 6.2.2.12	Source BS -> Target BS	O
Downlink Radio Environment	Section 6.2.2.25	Source BS -> Target BS	O <sup>l</sup>
Service Option	Section 6.2.2.66	Source BS -> Target BS	O
CDMA Serving One Way Delay	Section 6.2.2.79	Source BS -> Target BS	O
Service Configuration Record	Section 6.2.2.109	Source BS -> Target BS	O <sup>l</sup>
Private Parameters	Section 6.2.2.24	Source BS -> Target BS	O

- a. Allowable cause values are: Soft handoff; Uplink quality; Uplink strength; Downlink quality; Downlink strength; Distance; Interference; better cell (i.e., Power budget); response to MSC invocation; OAM&P intervention; IS-41 invocation; private options.
- b. This element contains the preferred list of target cells in order of predicted best performance.
- c. This element indicates the signaling modes the mobile is capable of operating in.
- d. Used for IS-95 soft handoff procedures, when the source BS needs to convey sub-rate circuit information to the target BS. A maximum of 5 instances of this element can be included in this message.

- e. Conveys current Voice Privacy mode, as well as either Voice Privacy or Signaling Message Encryption Keys, if applicable.
- f. This element provides the signaling type that the mobile is currently using. Only one is permitted.
- g. Specifies the current IS-95 Channel(s).
- h. This element is required for IS-95 handoff and must contain the mobile's ESN, so that the target BS can calculate the Public Long Code Mask. A maximum of two instances of this element may be included, in which case the first instance will contain the MIN/IMSI.
- i. This element provides information for each cell in the Cell Identifier List element.
- j. Only required for multi-cell BS's. Discriminator types 1, 2 or 5 must be used.
- k. This element indicates the current frequency band that the MS is operating on.
- l. This element indicates the requested service configuration.
- m. These elements are required when a packet mode channel is being used to support the call.

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89 **6.1.x.x PMC\_A3 IIO Request Ack**

10 This message is sent from the target BS to the source BS, in response to the PMC IIO  
 11 Request, to indicate that a target channel has been allocated for handoff as requested. This  
 12 message is also used to initiate a layer 3 connection to support the transfer of user  
 13 information for a newly added radio link in soft handoff.  
 14

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Target_BS -> Source_BS	M
Call Connection Reference	Section 6.2.2.98	Target_BS -> Source_BS	M
IS-95 Channel Identity	Section 6.2.2.10	Target_BS -> Source_BS	M
Cell Identity	Section 6.2.2.20	Target_BS -> Source_BS	M
CE ID	Section 6.2.2.xx	Target_BS -> Source_BS	M
CE_Node ID	Section 6.2.2.xx	Target_BS -> Source_BS	M
A3_Traffic Circuit ID	Section 6.2.2.96	Target_BS -> Source_BS	M
A3_Traffic Connection ID	Section 6.2.2.97	Target_BS -> Source_BS	M
Tag	Section 6.2.2.62	Target_BS -> Source_BS	O
SDU ID	Section 6.2.2.91	Target_BS -> Source_BS	O
SDU_Node ID	Section 6.2.2.90	Target_BS -> Source_BS	O
PMC_A3 Cell Information Record	Section 6.2.2.89	Target_BS -> Source_BS	O
Extended Handoff Direction Parameters	Section 6.2.2.73	Target_BS -> Source_BS	O
Forward Power Control Parameters	Section 6.2.2.69	Target_BS -> Source_BS	O
Neighbor List	Section 6.2.2.83	Target_BS -> Source_BS	O <sup>1</sup>
Handoff Type	Section 6.2.2.88	Target_BS -> Source_BS	O
Service Configuration Record	Section 6.2.2.109	Target_BS -> Source_BS	O <sup>1</sup>
PMC Cause	Section 6.2.2.99	Target_BS -> Source_BS	
Private Parameters	Section 6.2.2.24	Target_BS -> Source_BS	O

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- a. Included if the air interface channel allocated by the target is ANSI/EIA/TIA-553, IS-91, IS-54.
- b. Included if the air interface channel allocated by the target is IS-95. It lists IS-95 channels that have been allocated by the target BS.
- c. A maximum of 5 Channel Number elements may be included in this message.

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- d. Needed by source BS for soft handoff (SHO) Drop Target message and for Handoff Performed message.
  - e. Included if an IS-95 soft handoff procedure is being performed for a call that requires a terrestrial circuit. A maximum of 5 instances of this element may be included. The order of the circuit identities must match the order of the Channel Number elements and the order of the IS-95 channels listed in the IS-95 Channel ID element, so that the source BS can determine which circuit is connected to which target IS-95 channel.
  - f. For IS-54 only.
  - g. For IS-95 soft handoff drop source procedure. The target BS may propose an alternative Transceiver Handoff Time to the source BS.
  - h. For IS-136 only.
  - i. This element indicates the granted service configuration.
  - j. Included if an IS-95 soft handoff procedure is being performed. A maximum of 5 instances of this element may be included. The order of the Neighbor List elements shall match the order of the Channel Number elements and the order of the IS-95 channels listed in the IS-95 Channel ID element, so that the source BS can determine which neighbor list is associated with which target IS-95 channel.
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#### 6.1.5.12 Handoff Performed

This BSMAP message is sent from the BS to the MSC in order to indicate that the BS has performed a handoff. In case of soft handoff, this message is sent from source BS to the source MSC only when the reference cell has been changed upon completion of the new handoff. The handoff may have been internal or in conjunction with another BS. The purpose of this message is to update the call configuration for the MSC. The Cell Identifier List and channel identities are optionally included for billing, trace, court ordered surveillance, etc.

Information Element	Reference	Direction	Type
Message Type	Section 6.2.2.4	BS -> MSC	M
Cause	Section 6.2.2.19	BS -> MSC	Ma
RF Channel Identity	Section 6.2.2.8	BS -> MSC	O
IS-95 Channel Identity	Section 6.2.2.10	BS -> MSC	O
Channel Number	Section 6.2.2.6	BS -> MSC	Ob
Cell Identifier List	Section 6.2.2.21	BS -> MSC	Oc
Call Connection Reference	Section 6.2.2.98	BS -> MSC	Od

- a. Allowable cause values are: Uplink quality, Uplink strength, Downlink quality, Downlink strength, Distance, Interference, Better cell (i.e., Power budget), Response to MSC invocation, OAM&P intervention, or Private options. For IS-95 soft handoff procedures: Inter-BS soft handoff drop target; Inter-BS soft handoff add target; Intra-BS soft handoff drop target; Intra-BS soft handoff add target; Equipment failure.
- b. A maximum of 6 Channel Number elements may be included in this message.
- c. For IS-95, all cells currently supporting the call appear in this list. The first cell on the list is the reference cell. The algorithm of determining of a reference cell is on discretion of BS manufacturers. For ANSI/ETIA-553 and IS-91, only one cell can be specified.
- d. This element is required when a packet mode channel is being used to support the call.



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15 **6.1.9.x PMC\_A3 Begin FWD Traffic**

16 This packet mode channel message is sent from the source BS to the target BS to  
17 acknowledge successful completion of the Packet Mode Channel connect operation. This  
18 message also triggers the transmission of forward traffic frames at the target BS. If an error  
19 has occurred in the operation, the PMC Cause element indicates the reason for the failure.  
20

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Source BS->Target BS	M
Call Connection Reference	Section 6.2.2.98	Source BS->Target BS	M
IS-95 Channel Identity	Section 6.2.2.10	Source BS->Target BS	M
Cell Identifier	Section 6.2.2.20	Source BS->Target BS	M
CE_ID	Section 6.2.2.xx	Source BS->Target BS	M
CE_Node ID	Section 6.2.2.xx	Source BS->Target BS	M
Tag	Section 6.2.2.62	Source BS->Target BS	O
SDU ID	Section 6.2.2.91	Source BS->Target BS	O
SDU_Node ID	Section 6.2.2.90	Source BS->Target BS	O
PMC Cause	Section 6.2.2.99	Source BS->Target BS	O <sup>a</sup>

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- a. The PMC Cause element must be present if a failure has occurred. Its absence implies success.

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24 **6.1.9.x PMC\_A3 Begin FWD Traffic Ack**

25 This packet mode channel message is sent from the target BS to the source BS to  
26 acknowledge PMC\_A3 Begin FWD Traffic Ack.  
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Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Target_BS -> Source_BS	M
Call Connection Reference	Section 6.2.2.98	Target_BS -> Source_BS	M
IS-95 Channel Identity	Section 6.2.2.10	Target_BS -> Source_BS	M
Cell Identifier	Section 6.2.2.20	Target_BS -> Source_BS	M
CE_ID	Section 6.2.2.xx	Target_BS -> Source_BS	M
CE_Node ID	Section 6.2.2.xx	Target_BS -> Source_BS	M
Tag	Section 6.2.2.62	Target_BS -> Source_BS	O
SDU ID	Section 6.2.2.91	Target_BS -> Source_BS	O
SDU_Node ID	Section 6.2.2.90	Target_BS -> Source_BS	O

PMC Cause	Section 6.2.2.99	Target_BS -> Source_BS	O <sup>a</sup>
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- a. The PMC Cause element must be present if a failure has occurred. Its absence implies success.

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31 **6.1.9.X PMC\_A3 Channel Count**

32 This packet mode channel message is sent from the source BS to the target BS to indicate  
33 the number of IS-95 channels involved in a call.  
34

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Source BS->Target BS	M
Call Connection Reference	Section 6.2.2.98	Source BS->Target BS	M
IS-95 Channel Identity	Section 6.2.2.10	Source BS->Target BS	M
Cell Identifier	Section 6.2.2.20	Source BS->Target BS	M
CE_ID	Section 6.2.2.xx	Source BS->Target BS	M

CE_Node ID	Section 6.2.2.xx	Source BS->Target BS	M
Power Control Subchannel Count	Section 6.2.2.	Source BS->Target BS	M
Tag	Section 6.2.2.62	Source BS->Target BS	O
SDU ID	Section 6.2.2.91	Source BS->Target BS	O
SDU_Node ID	Section 6.2.2.90	Source BS->Target BS	O

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32 **6.1.9.x PMC\_A3 Receving Reverse Frame**

33 This packet mode channel message is sent from the target BS to the source BS to indicate  
34 receving of reverse frames at the target BS.  
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#### 6.1.9.x PMC\_A3 Drop Target

This packet mode channel message is sent from the source BS to the target BS to request a soft handoff leg to be dropped. This may or may not result in the disconnection of A3 connection depending on whether a consolidation/soft combining is applied at the target BS.

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Source BS -> Target BS	M
Call Connection Reference	Section 6.2.2.98	Source BS -> Target BS	M
IS-95 Channel Identity	Section 6.2.2.10	Source BS -> Target BS	M
Cell Identifier	Section 6.2.2.20	Source BS -> Target BS	M
CE_ID	Section 6.2.2.xx	Source BS -> Target BS	M
CE_Node ID	Section 6.2.2.xx	Source BS -> Target BS	M
A3_Traffic Circuit ID	Section 6.2.2.96	Source BS -> Target BS	M
A3_Traffic Connection ID	Section 6.2.2.97	Source BS -> Target BS	M
Tag	Section 6.2.2.62	Source BS -> Target BS	O
SDU ID	Section 6.2.2.91	Source BS -> Target BS	O
SDU_Node ID	Section 6.2.2.90	Source BS -> Target BS	O
PMC_A3 Cell Information Record	Section 6.2.2.89	Source BS -> Target BS	O
PMC Cause	Section 6.2.2.99	Source BS -> Target BS	Oa

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Target BS -> Source BS	M
Call Connection Reference	Section 6.2.2.98	Target BS -> Source BS	M
IS-95 Channel Identity	Section 6.2.2.10	Target BS -> Source BS	M
Cell Identifier	Section 6.2.2.20	Target BS -> Source BS	M
CE_ID	Section 6.2.2.xx	Target BS -> Source BS	M
CE_Node ID	Section 6.2.2.xx	Target BS -> Source BS	M
Tag	Section 6.2.2.62	Target BS -> Source BS	O
SDU ID	Section 6.2.2.91	Target BS -> Source BS	O
SDU_Node ID	Section 6.2.2.90	Target BS -> Source BS	O
PMC Cause	Section 6.2.2.99	Target BS -> Source BS	O <sup>a</sup>

- a. The PMC Cause element must be present if a failure has occurred. Its absence implies success.

1 **6.1.9.x PMC\_A3 Drop Target Ack**

2 This packet mode channel message is sent from the target BS to the source BS to  
 3 acknowledge successful completion of a soft handoff drop target. If an error has occurred  
 4 in the operation, the PMC Cause element indicates the reason for the failure.  
 5

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Target BS -> Source BS	M
Call Connection Reference	Section 6.2.2.98	Target BS -> Source BS	M
IS-95 Channel Identity	Section 6.2.2.10	Target BS -> Source BS	M
Cell Identifier	Section 6.2.2.20	Target BS -> Source BS	M
CE_ID	Section 6.2.2.xx	Target BS -> Source BS	M
CE_Node ID	Section 6.2.2.xx	Target BS -> Source BS	M
A3_Traffic Circuit ID	Section 6.2.2.96	Target BS -> Source BS	M
A3_Traffic Connection ID	Section 6.2.2.97	Target BS -> Source BS	M
Tng	Section 6.2.2.62	Target BS -> Source BS	O
SDU ID	Section 6.2.2.91	Target BS -> Source BS	O
SDU_Node ID	Section 6.2.2.90	Target BS -> Source BS	O
PMC Cause	Section 6.2.2.99	Target BS -> Source BS	O <sup>a</sup>

- 6 a. The PMC Cause element must be present if a failure has occurred. Its  
 7 absence implies success.  
 8

### 6.1.9.6 PMC\_A3 Propagation Delay Measurement Report

This message is sent from the BS(CE) to the SDU immediately following the acquisition of the mobile and subsequently whenever the delay changes by two or more PN chips.

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Target BS -> Source BS	M
Call Connection Reference	Section 6.2.2.98	Target BS -> Source BS	M
IS-95 Channel Identity	Section 6.2.2.10	Target BS -> Source BS	M
Cell Identifier	Section 6.2.2.20	Target BS -> Source BS	M
CE ID	Section 6.2.2.xx	Target BS -> Source BS	M
CE_Node ID	Section 6.2.2.xx	Target BS -> Source BS	M
One Way Propagation Delay Record	Section 6.2.2.119	Target BS -> Source BS	M
Tag	Section 6.2.2.62	Target BS -> Source BS	O
SDU ID	Section 6.2.2.91	Target BS -> Source BS	O
SDU_Node ID	Section 6.2.2.90	Target BS -> Source BS	O



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1011 **6.1.9.11 PMC\_A3 CDMA Long Code Transition Directive**12 This message is sent from the source BS to the target BS over the A3 interface. It conveys  
13 the long code masks type (public or private) as well as the code itself to be used by the the  
14 target BS. It also provides the expected time of transition to the new long code mask.

Information Element	Reference	Direction	Type
PMC Message Type)	Section 6.2.2.5	Source BS -> Target BS	M
Call Connection Reference	Section 6.2.2.98	Source BS -> Target BS	M
IS-95 Channel Identity	Section 6.2.2.10	Source BS -> Target BS	M
Cell Identifier	Section 6.2.2.20	Source BS -> Target BS	M
CE ID	Section 6.2.2.xx	Source BS -> Target BS	M
CE_Node ID	Section 6.2.2.xs	Source BS -> Target BS	M
PMC CDMA Long Code Transition Record	Section 6.2.2.128	Source BS -> Target BS	M
Encryption Information	Section 6.2.2.12	Source BS -> Target BS	M
Tag	Section 6.2.2.62	Source BS -> Target BS	O
SDU ID	Section 6.2.2.91	Source BS -> Target BS	O
SDU_Node ID	Section 6.2.2.90	Source BS -> Target BS	O

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### 6.1.9.12 PMC\_A3 CDMA Long Code Transition Directive Ack

This message is sent from the BS(CE) to the SDU over the A3 signaling interface to convey the outcome of processing PMC\_A3 CDMA Long Code Transition Directive Ack.

Information Element	Reference	Direction	Type
PMC Message Type	Section 6.2.2.5	Target BS -> Source BS	M
Call Connection Reference	Section 6.2.2.98	Target BS -> Source BS	M
IS-95 Channel Identity	Section 6.2.2.10	Target BS -> Source BS	M
Cell Identifier	Section 6.2.2.20	Target BS -> Source BS	M
CE ID	Section 6.2.2.xx	Target BS -> Source BS	M
CE_Node ID	Section 6.2.2.xx	Target BS -> Source BS	M
Encryption Information	Section 6.2.2.12	Target BS -> Source BS	M
Tag	Section 6.2.2.62	Target BS -> Source BS	O
SDU ID	Section 6.2.2.91	Target BS -> Source BS	O
SDU_Node ID	Section 6.2.2.90	Target BS -> Source BS	O
PMC Cause	Section 6.2.2.99	Target BS -> Source BS	O <sup>a</sup>

- a. Allowable PMC Cause values are: Private long code not available or not supported.

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16 **6.2.2.10 IS-95 Channel Identity**

17 This element specifies identity information for one or more IS-95 radio channels.

Radio Element specifies identity information for one or more 15-95 radio channels.								
7	6	5	4	3	2	1	0	Octet
Element Identifier								1
Length								2
Hard Handoff	Number of Channels to Add			Frame Offset				3.
Walsh Code Channel Index								4n
Pilot PN Code (low part)								4n+1
Pilot PN Code (high part)	Power Combined	Freq. Included	Rate Set	Reserved	Band Class	ARFCN (high part)		4n+2
ARFCN (low part)								4n+3

18 Length is the number of octets that follow this octet. The length of this element is variable  
19 because more than one target cell may be requested in an IS-95 handoff. Therefore, this  
20 element provides the flexibility to specify multiple IS-95 channels that the target BS can  
21 accommodate.

22  
23 The Hard Handoff field, when set to 1, indicates that a hard handoff is required rather than  
24 a soft handoff. This field may be set in a handoff request or response. It must be set  
25 appropriately by the responding target BS to correspond to the action committed by the  
26 target. If the Handoff Type element is also present in the same message, the value of  
27 Handoff Type must agree with the setting of this bit.

28  
29 The Number of Channels To Add field is used to limit the number of soft handoff  
30 connections to the source transceiver/selection entity capabilities (i.e., more target cells may  
31 be specified in the Cell Identifier List than can be physically supported). The maximum  
32 value of this field is 5. This field is only relevant to a soft handoff addition request.

33  
34 The Frame Offset field will contain the number of 1.25 ms frames relative to system time  
35 that the forward and reverse traffic channels are delayed by the source. If this element is  
36 returned to the source with the hard handoff indicator bit set, this field will contain the  
37 frame offset delay required by the target.

38

The Walsh Code Channel Index specifies one of 64 possible Walsh Codes used to channelize the downlink RF bit stream in an IS-95 call. Octets 4n+1 and 4n+2 contain the Pilot PN Code. The Pilot PN Code is one of 511 unique values for the Pilot Channel offset. The offsets are in increments of 64 PN chips.

The Power Combined field is a flag that, when set to "1", indicates that the power control sub-channel of this IS-95 code channel should be diversity combined with the previous IS-95 code channel listed in this element. In other words, if this is the second replication of octets 4n through 4n+3, then the power control sub-channel of this IS-95 code channel should be diversity combined with power control sub-channel of the previous replication of octets 4n through 4n+3. The first occurrence of this field in the IS-95 Channel Identity element is set to zero.

Frequency Included is a flag indicating whether the frequency assignment is included. A "0" indicates no frequency assignment is present, a "1" indicates a frequency assignment is present and is specified in the ARFCN field of this element. For code channel assignments that are on the same IS-95 channel frequency, this field shall be set to "0".

The Rate Set field is coded as follows:

0: Rate Set 1  
1: Rate Set 2

The Band Class field is coded as follows:

0: A band  
1: B band

The ARFCN (Absolute RF Channel Number) in octets 4n+2 and 4n+3 identifies the IS-95 frequency being used in the current mobile connection. This ARFCN has a range of 0-1023 to accommodate the frequency bands of each signaling system. The frequency bands are shown below for clarification.

The frequency bands reserved for IS-95 signaling systems are covered with the following channel numbering scheme:

#### 6.2.2.xx Public Long Code

This element is a fixed length element. It contains Public Long Code.

This element is a fixed length element. It contains a Public Long Code.								
7	6	5	4	3	2	1	0	Octet
Element Identifier								1
Length								2
LSB.	Public Long Code							3
Public Long Code							MSB	8

The Length Indicator (octet 2) is a binary number indicating the absolute length of the contents after the length indicator octet. The Algorithm-Info field is coded as follows:

**Public Longcode:** The length is 42 bits, encoded in 6 octets, such that the 6 unused bits are set equal to '0', and occupy the high-order positions of the most significant octet.

#### 6.2.2.xx CE Node ID

This information element identifies the network node that contains the instance of the Channel Element (CE) in use for the call.

7	6	5	4	3	2	1	0	octet
Element Identifier								1
Length								2
Type								2
(MSB)								3
CE Node Identifier								...
							(LSB)	variable

**Length:** This field indicates the number of octets that follow, including the number of octets in both the Type and CE Node Identifier fields.

**Type:** This field indicates the type and format of the CE Node Identifier that follows.

Type	Format of the SDU Node Identifier	Length of CE Node Identifier
1	No format is specified.	Variable
2	Internet Protocol IPv4	4 octets
3	Internet Protocol IPv6	variable
All other values	Reserved	

**CE Node Identifier:** This field has a variable length that is dependent on the Type field. The internal format of this field may be specified via the Type field.

1 **8.2.2.xx CE ID**

2 This information element identifies a particular CE instance within an CE Node.

7	6	5	4	3	2	1	0	octet
Element Identifier								1
Length								2
(MSB)  -----								3
CE Identifier								...
(LSB)								variable

3 **Length:** This field indicates the number of octets in the CE  
 4 Identifier field.  
 5 **CE Identifier:** This field has a variable length. The actual length is  
 6 indicated in the Length field and is dependent upon  
 7 the particular implementation.  
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12 **8.2.2.xx Power Control Subchannel Count**

13 This information element indicates the number of subchannel involved in a soft handoff for  
 14 power control purposes.

7	6	5	4	3	2	1	0	octet
Element Identifier								1
Length								2
Subchannel Count								3

## WHAT IS CLAIMED IS:

1. A method of establishing a soft handoff comprising the steps of:

5 sending a resource allocation message from a source base station (BS) directly to a target BS on a signaling first link;

10 establishing and completing a traffic connection between the target BS and a source selection/distribution unit (SDU) via a direct signaling connection between a source system and a target BS using a signaling second link; and

transferring voice signals between said source (SDU) and said target BS over a traffic link.

2. The method of claim 1 wherein:

the soft handoff is of a mobile station (MS) between a source BS and a target BS in a code division multiple access (CDMA) mobile communication system.

3. The method of claim 1 wherein:

said signaling first link conforms to an industry standardized protocol known in the art as A7; and

5 said signaling second link conforms to an industry standardized protocol known in the art as A3S.

4. Apparatus for establishing a soft handoff comprising:  
means for sending a resource allocation message from  
a source (BS) directly to a target BS on a signaling first  
link;

5 means for establishing and completing a traffic  
connection between the target BS and a source  
selection/distribution unit (SDU) via a direct signaling  
connection between a source system and a target BS using a  
signaling second link; and

10 means for transferring voice signals between said  
source (SDU) and said target BS over a traffic link.

5. Apparatus as claimed in claim 4 wherein  
the soft handoff is of a mobile station (MS) between  
a source BS and a target BS in a code division multiple  
access (CDMA) mobile communication system.

6. The apparatus of claim 4 wherein:  
said signaling first link conforms to an industry  
standardized protocol known in the art as A7; and  
said signaling second link conforms to an industry  
5 standardized protocol known in the art as A3S.



7. A method of initiating the establishment of a soft handoff between source and target base stations (BS) each comprising a part of different type architecture systems comprising the single step of:

5        sending a resource allocation message from a source base station (BS) directly to a target BS.

8. The method of claim 7 wherein:

         the resource allocation message is sent from one of an Architecture A type system and an Architecture B type system to the other of said Architecture A and Architecture  
5        B type systems.

9. The method of claim 7 wherein:

         said resource allocation message is sent over a communication link conforming to an industry standardized protocol known in the art as A7.

10. Apparatus for initiating the establishment of a soft handoff between source and target base stations (BS) comprising:

5 a target BS comprising a part of a first architecture type system;

a source BS comprising a part of a architecture type system differing from said first architecture type system; and

10 means for sending a resource allocation message from said source BS directly to said target BS.

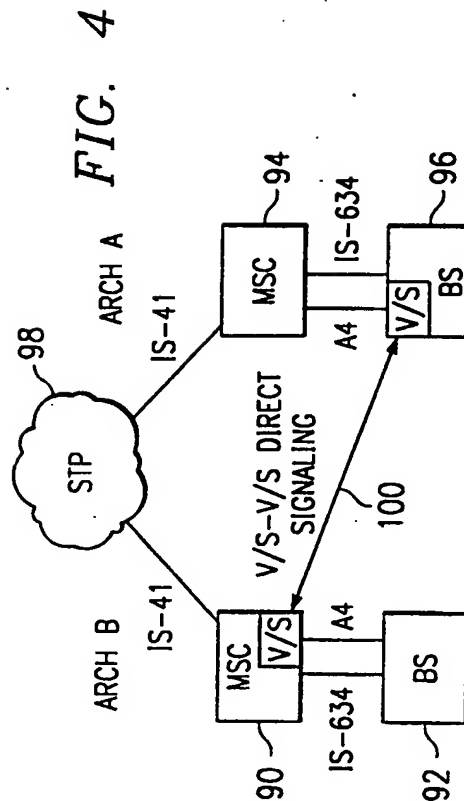
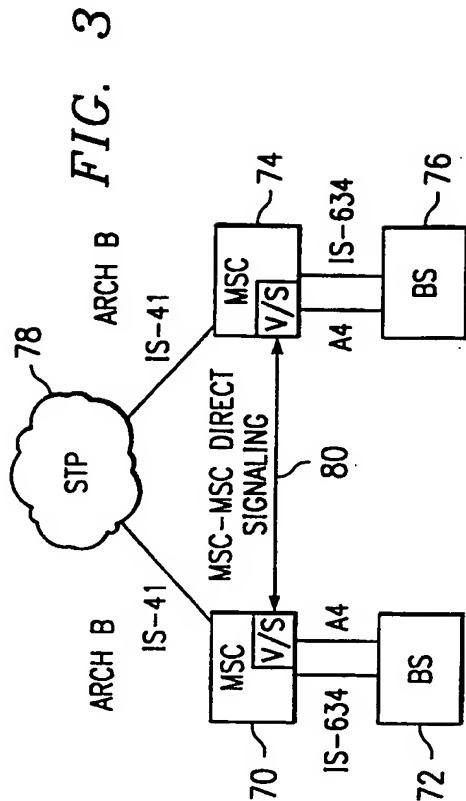
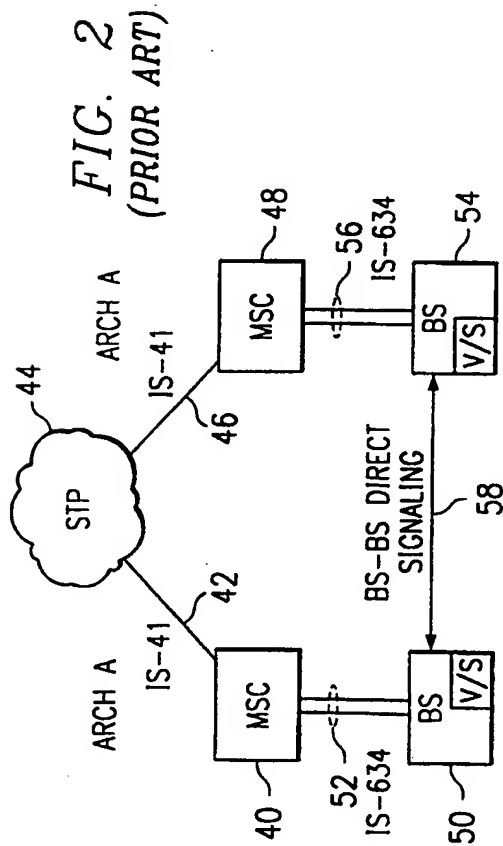
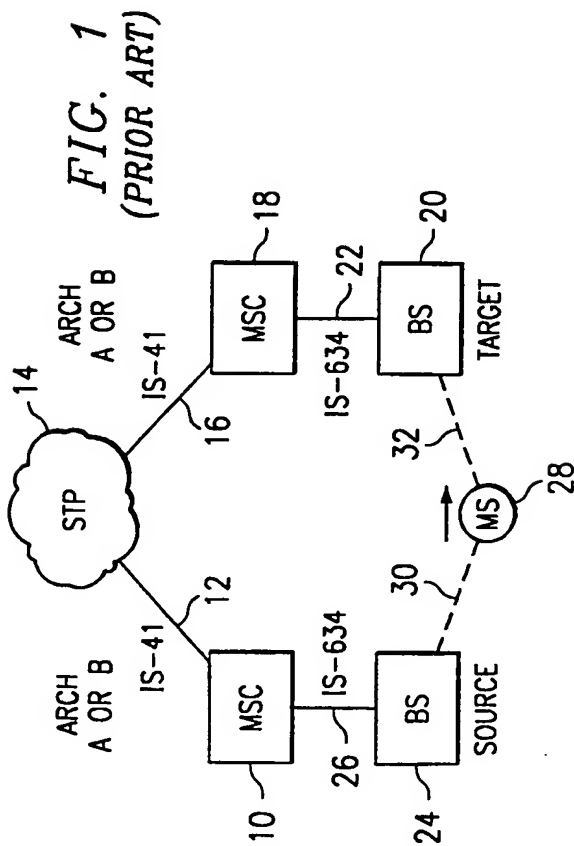
11. The apparatus of claim 10 wherein:

5 the resource allocation message is sent from one of an Architecture A type system and an Architecture B type system to the other of said Architecture A and Architecture B type systems.

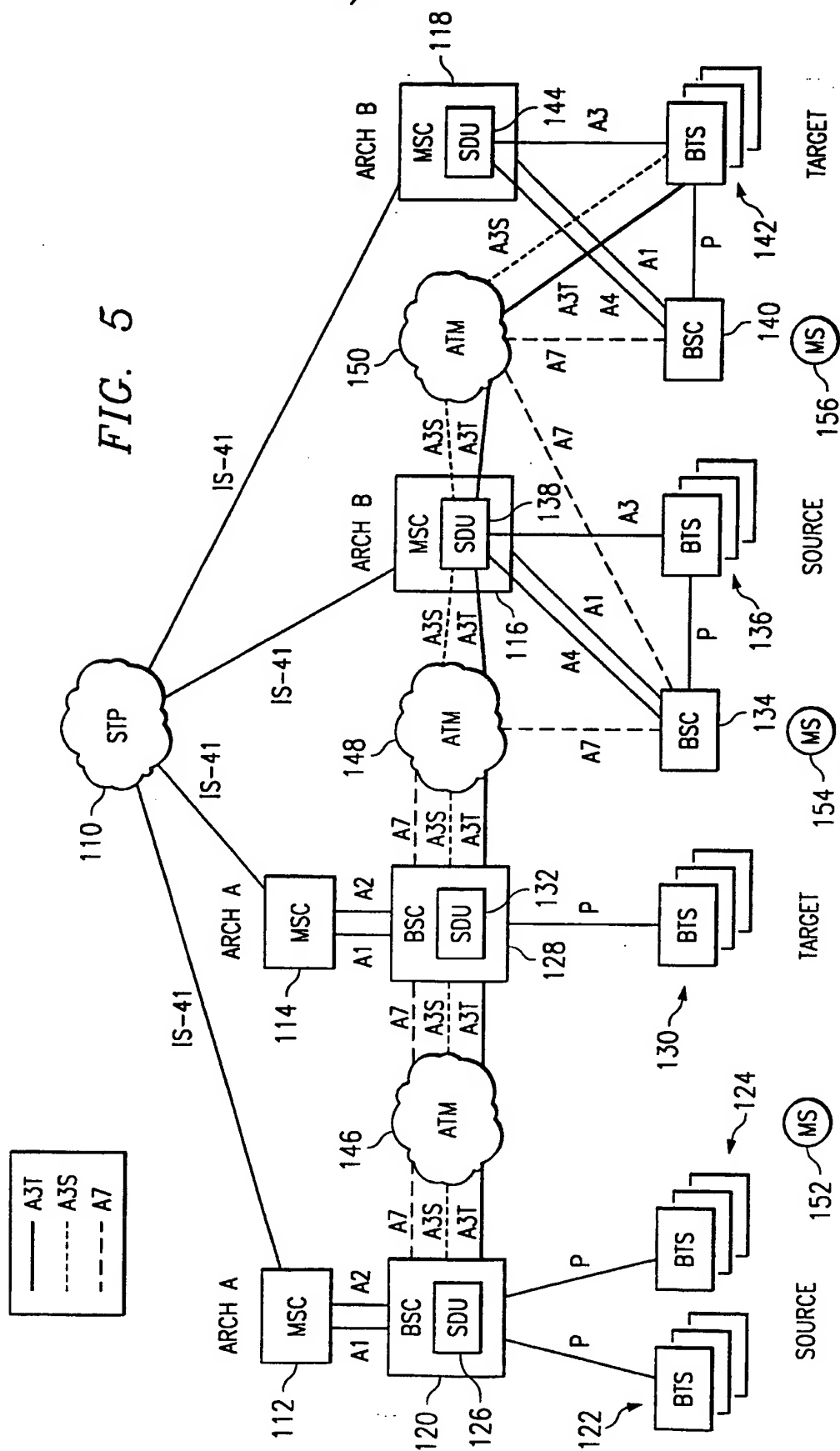
12. The apparatus of claim 10 wherein:

said means for sending a resource allocation message from said source BS directly to said target BS conforms to an industry standardized protocol known in the art as A7.

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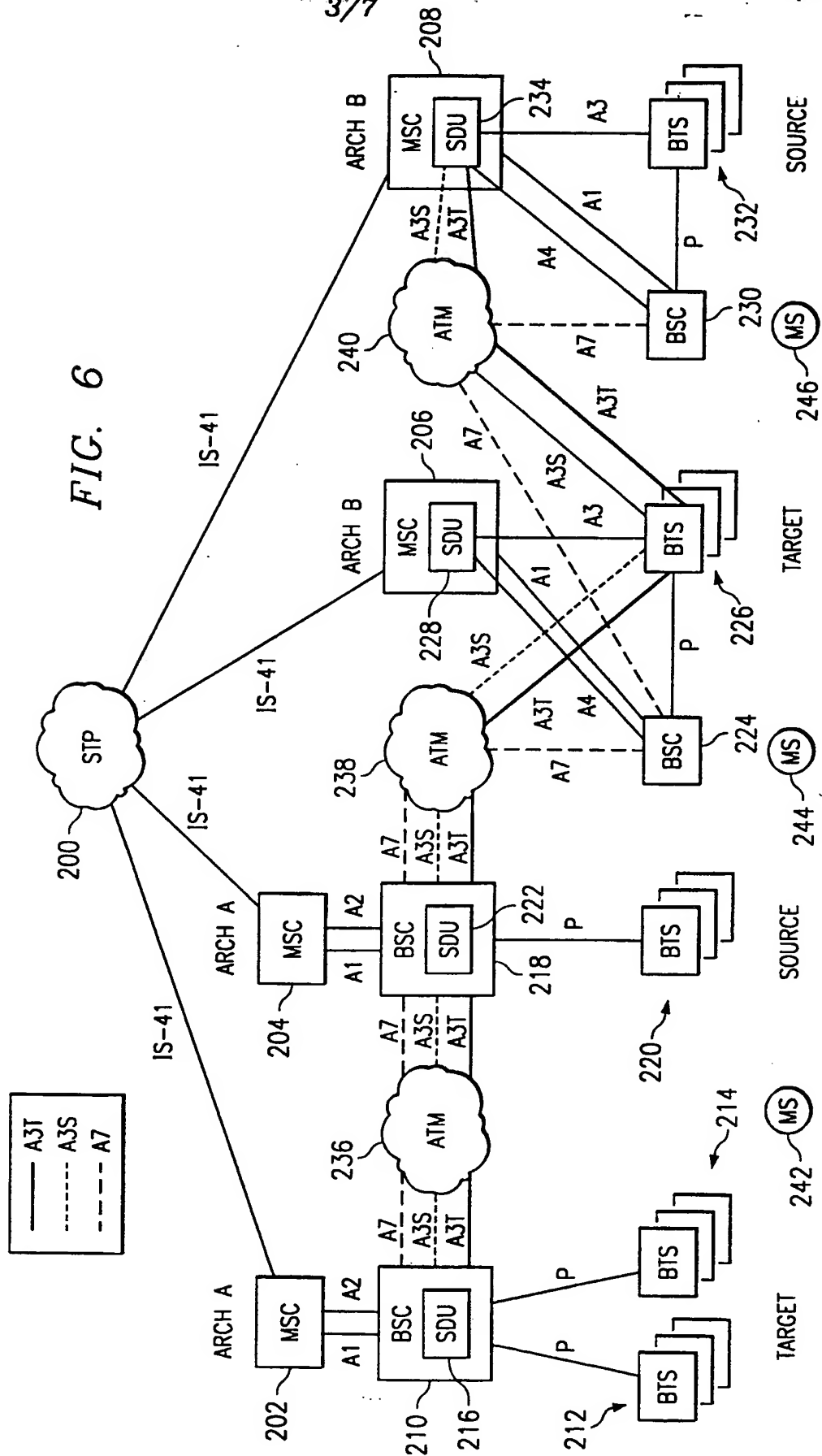


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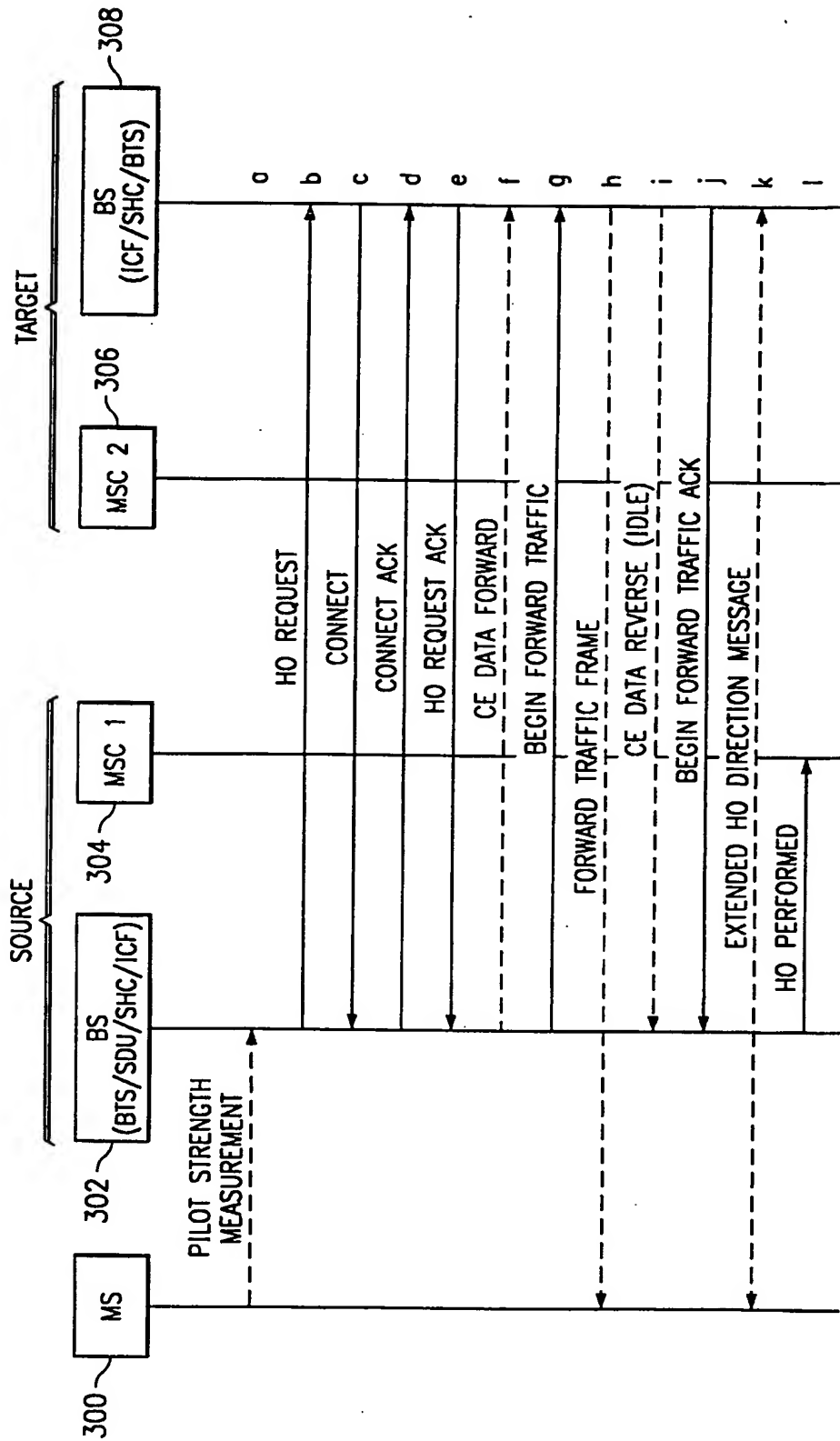
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FIG. 6

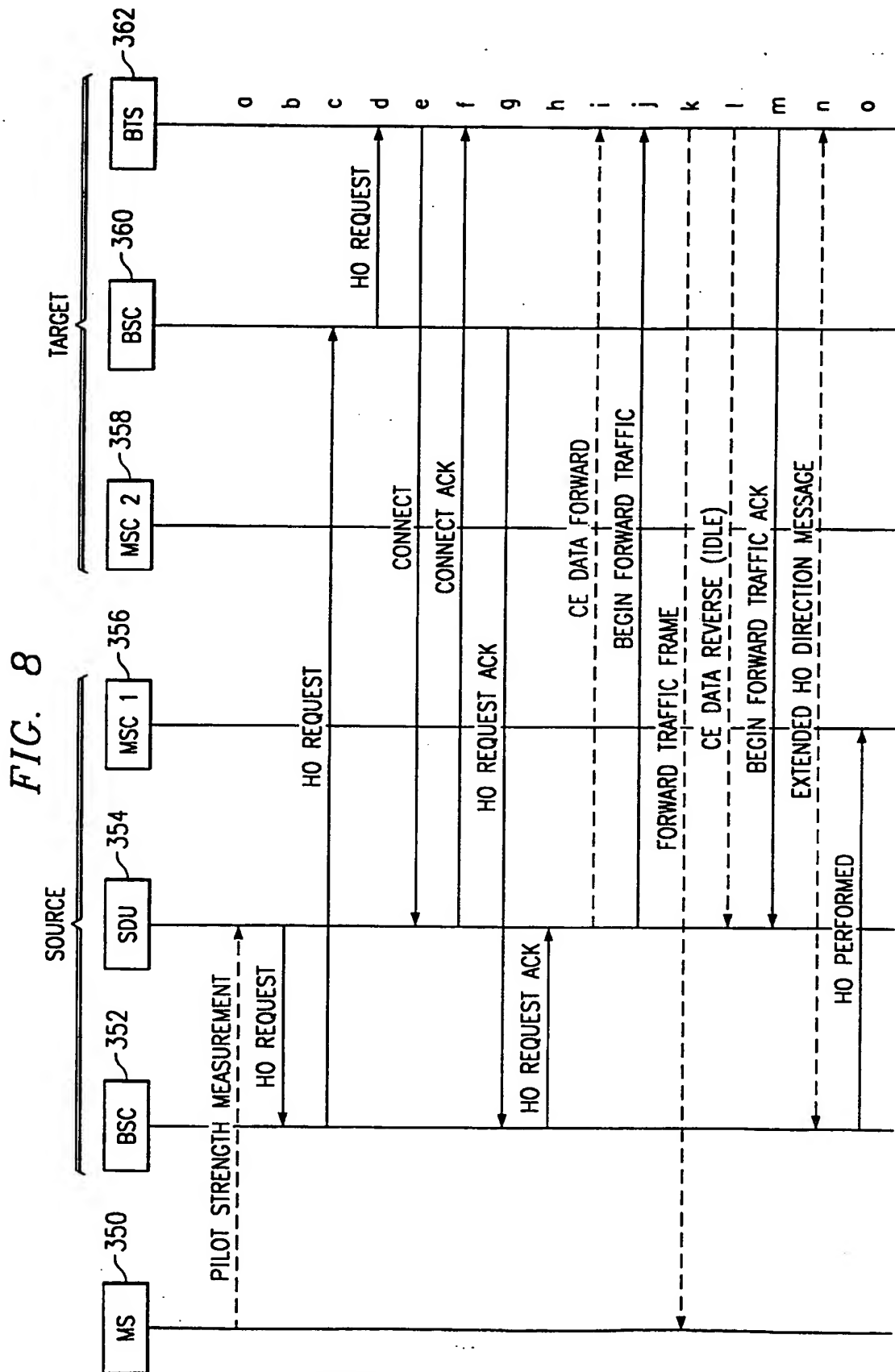


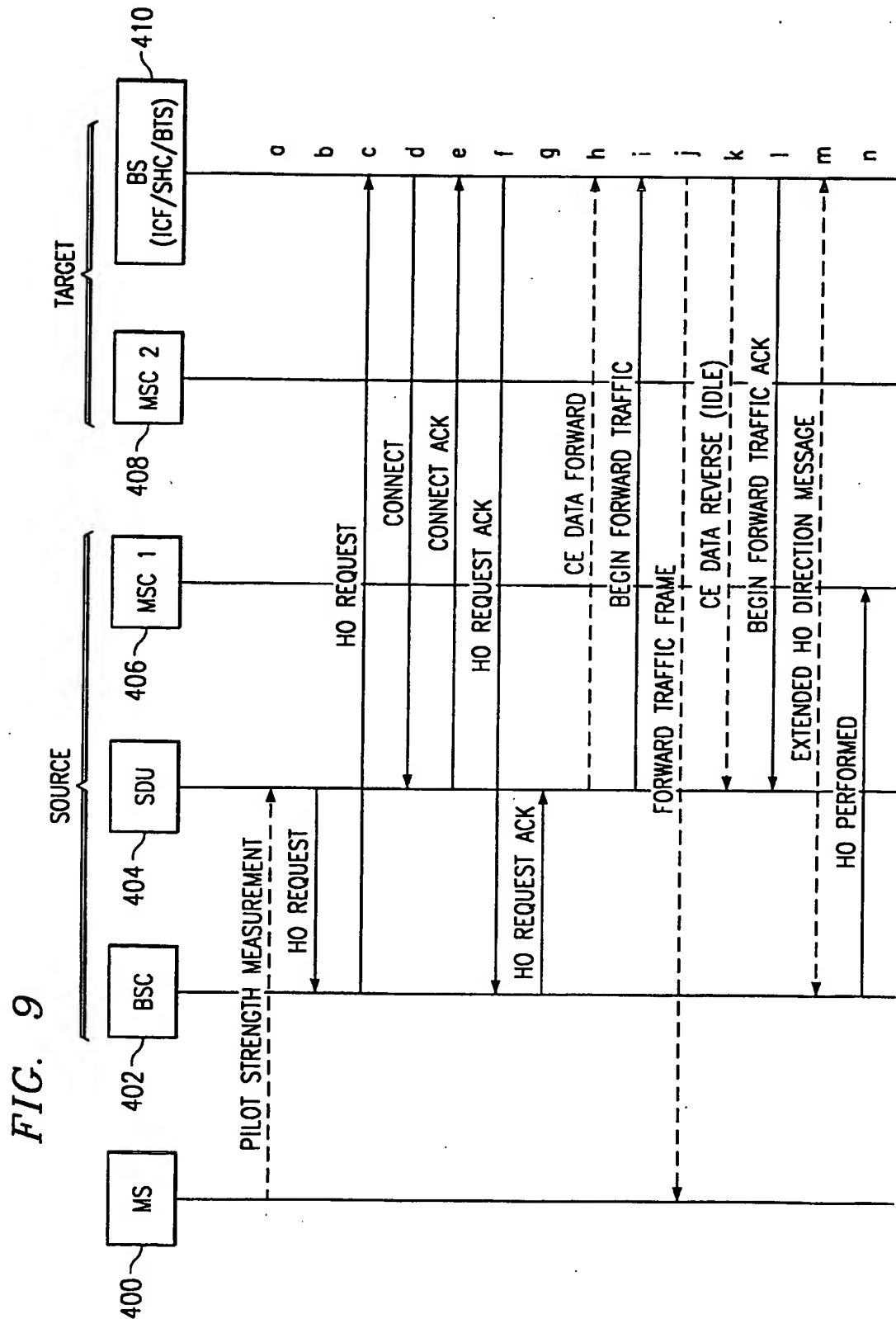
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FIG. 7



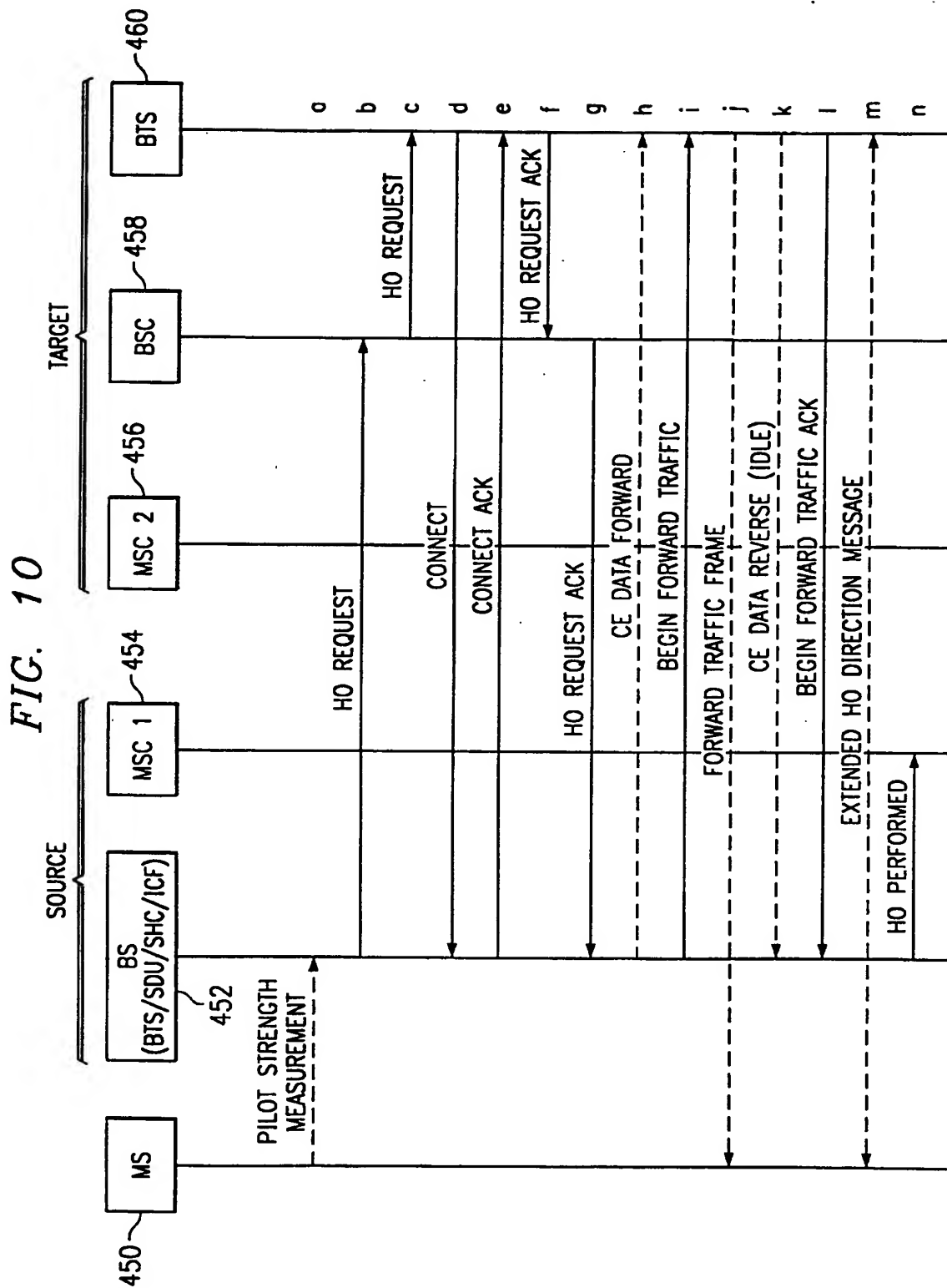
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# INTERNATIONAL SEARCH REPORT

ii. national Application No

PCT/US 98/15048

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 H0407/38		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 H04Q		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	YOON C ET AL: "HANDOVER SCHEMES FOR ITS-COMBINED ATM-BASED MOBILE MULTIMEDIA COMMUNICATION SYSTEM" 1997 IEEE 47TH. VEHICULAR TECHNOLOGY CONFERENCE, PHOENIX, MAY 4 - 7, 1997, vol. 1, no. CONF. 47, 4 May 1997, pages 135-139, XP000701773 INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS see page 137 - page 138 --- -/--	1,4,7,10
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.		
<input checked="" type="checkbox"/> Patent family members are listed in annex		
<b>* Special categories of cited documents :</b>		
<b>"A"</b> document defining the general state of the art which is not considered to be of particular relevance <b>"E"</b> earlier document but published on or after the international filing date <b>"L"</b> document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) <b>"O"</b> document referring to an oral disclosure, use, exhibition or other means <b>"P"</b> document published prior to the international filing date but later than the priority date claimed <b>"T"</b> later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention <b>"X"</b> document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone <b>"Y"</b> document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. <b>"&amp;"</b> document member of the same patent family		
Date of the actual completion of the international search 26 October 1998		Date of mailing of the international search report 02/11/1998
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer Leouffre, M

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International Application No

PCT/US 98/15048

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>YUAN R ET AL: "A SIGNALING AND CONTROL ARCHITECTURE FOR MOBILITY SUPPORT IN WIRELESS ATM NETWORKS"</p> <p>1996 IEEE INTERNATIONAL CONFERENCE ON COMMUNICATIONS (ICC), CONVERGING TECHNOLOGIES FOR TOMORROW'S APPLICATIONS DALLAS, JUNE 23 - 27, 1996, vol. 1, 23 June 1996, pages 478-484, XP000625718</p> <p>INSTITUTE OF ELECTRICAL &amp; ELECTRONICS ENGINEERS</p>	1,4,7,10
A	<p>CHEN W: "IMPACT OF ANCHOR RE-ROUTING BASED INTER-SWITCH HANDOFFS IN WIRELESS ATM ACCESS NETWORKS"</p> <p>1996 IEEE INTERNATIONAL CONFERENCE ON COMMUNICATIONS (ICC), CONVERGING TECHNOLOGIES FOR TOMORROW'S APPLICATIONS DALLAS, JUNE 23 - 27, 1996, vol. 1, 23 June 1996, pages 235-239, XP000625674</p> <p>INSTITUTE OF ELECTRICAL &amp; ELECTRONICS ENGINEERS</p>	1,4,7,10
A	<p>US 5 471 644 A (BONTA JEFFREY D ET AL)</p> <p>28 November 1995</p> <p>see column 3, line 51 - line 59</p>	1,4,7,10
A	<p>WO 96 36191 A (MOTOROLA INC)</p> <p>14 November 1996</p> <p>see page 4, line 26 - line 31; figure 1</p>	1,4,7,10
E	<p>WO 98 36612 A (NOKIA TELECOMMUNICATIONS OY ;VIALEN JUKKA (FI); MAEENPAEAE SANNA ( )</p> <p>20 August 1998</p> <p>see abstract; figure 1</p>	1,4,7,10

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WO 9636191 A	14-11-1996	US 5682416 A BR 9606324 A CA 2193506 A EP 0770312 A FI 965195 A JP 10503354 T	28-10-1997 16-09-1997 14-11-1996 02-05-1997 23-12-1996 24-03-1998
WO 9836612 A	20-08-1998	NONE	